國立政治大學英國語文學系碩士班碩士論文

指導教授:施堂模先生

Advisor: Thomas J. Sellari

華特・史考特之艾凡赫:論蘇格蘭國族主義之進程

Sir Walter Scott's Ivanhoe: the Process of Scottish Nationalism



研究生: 林侃儒撰

Name: Kan-ju Lin

中華民國 102 年 1 月

Jan. 2013

SIR WALTER SCOTT'S *IVANHOE*: THE PROCESS OF SCOTTISH NATIONALISM



In Partial Fulfillment
of the Requirements for the Degree of
Master of Arts

by Kan-ju Lin Jan. 2013

To Richard, my family and friends



國立政治大學英國語文學系碩士班 碩士論文提要

論文名稱:華特·史考特之艾凡赫:論蘇格蘭國族主義之進程

指導教授:施堂模先生

研究生: 林侃儒

論文提要內容:艾凡赫(又名劫後英雄傳)是華特·史考特(Sir Walter Scott,有時譯為司各特)所作的威佛利系列小說(Waverley Novels)中最受歡的小說之一。史考特在出版了幾本有關蘇格蘭的小說之後,決定嘗試寫一部純粹只與英格蘭有關的作品。在閱覽評論艾凡赫的文章中,我發現大部分的學者忽略了艾凡赫或許與蘇格蘭有關的可能性。因此我決定透過蘇格蘭國族主義的角度來分析艾凡赫,探究其與蘇格蘭的關係。

從中古世紀到十九世紀的蘇格蘭歷史中,我得到了啟發。我認為我們可以將國族主義形成的過程分成「集體身分認同」、「國家和國族主義」以及「國家身分認同與進階國族主義」來討論。本論文共有五個章節,除了序論和結論之外,中間三個章節將按照上述的三點進行分析。第一章將藉由閱讀蘇格蘭中古歷史和文學作品從中了解蘇格蘭集體身分認同的形成,並將其形成的模式套用於艾凡赫,進而解釋理查國王(King Richard)如何建立英格蘭集體身分認同。第二章所探討的是蘇格蘭歷史與威佛利中蘇格蘭如何成為國家以及其國族主義的建立,並以所得的結果分析理查國王如何使英格蘭成為真正的國家與其建立英格蘭國族主義的手法。第三章將重點擺在小說中英格蘭國家身分認同與其進階的國族主義,希望經由與前兩章相同的對應手法反向解釋史考特如何運用艾凡赫表達自己的蘇格蘭國家身分認同以及其進階的蘇格蘭國族主義。

Abstract

Among Sir Walter Scott's Waverley Novels, *Ivanhoe* is one of the most popular works. After writing several novels related to Scotland, Scott boldly attempted to create a purely English novel as an experiment in his life as a novelist. In reviewing the papers on *Ivanhoe*, I find that critics in and after Scott's time seem to overlook the possibility that *Ivanhoe*, like any of its predecessor, is related to Scotland. Therefore, this thesis examines the relationship between Scottish history and *Ivanhoe* from the perspective of nationalism.

Learning from Scottish history, I suggest discussing the formation of Scottish nationalism from aspects of "collective identity," "nation and nationalism," and "national identity and advanced nationalism." The thesis is divided into three chapters according to aspects and a concluding chapter. Chapter One focuses on the building of Scottish identity in history and the building of English identity in *Ivanhoe*. Chapter Two discusses Scotland as a nation, the appearance of Scottish nationalism before the Union and Scottish nationalism in *Waverley* and *Ivanhoe*. Chapter Three looks into while the characters exhibit their English national identity and King Richard pursues his advanced English nationalism in the novel, Scott shows his Scottish national identity and develops an advanced Scottish nationalism that, with a well-preserved Scottish national identity, consecrates to reach a harmonious peace between the Scots and the English via *Ivanhoe*. Conclusion closes the thesis by reconfirming the relationship between Scott's *Ivanhoe* and Scotland through the three-stage process of Scottish nationalism.

Table of Contents

Dedication Page	111
Chinese Abstract	iv
English Abstract	v
Introduction: Ivanhoe and Nationalism	
Introduction	1
Sir Walter Scott	2
The Waverley Novels	3
Ivanhoe: a Twelfth-Century Chivalric Romance	4
Ivanhoe: a Failure or a Success?	5
Ivanhoe, Scotland and the Process of Nationalism	17
Chapter Organization	21
Chapter	
1. Collective Identity 1.1 Introduction	
1.1 Introduction	27
1.2 Kingdom, Kings, and Scottish Identity	29
1.3 The Usages of "Nation"	33
1.4 S Christianity in Medieval Scotland	35
1.5 Scotland and <i>Ivanhoe</i>	36
1.6 Conclusion	42
2. Nation and Nationalism	
2.1 Introduction	45

2.2 Scotland: Factors of Becoming a Nation	47
2.3 Scotland as a Nation	48
2.4 Scottish Nationalism and The Nation before the Treaty of Union	
(1328-1707)	51
2.5 Scottish Nationalism in <i>Ivanhoe</i>	54
2.6 Conclusion.	61
3. National Identity and Advanced Nationalism	
3.1 Introduction	
3.2 The Jacobite Risings in 1715 and 1745	
3.3 Scottish National Identity in literature	69
3.4 Scott's symbols of Scottish national identity: The Visit of	
George IV in 1822	71
3.5 Scottish and English National Identity in <i>Ivanhoe</i>	72
3.6 Ivanhoe: Advanced Nationalism	75
3.6.1 Conflicts Between the Normans and the Saxons	75
3.6.2 Conflicts between the Saxons and the Jews	
3.7 Conclusion	82
Conclusion: The Process of Scottish Nationalism in Ivanhoe	85
Works Cited	93